

Protecting and improving the nation's health

# Approaches to study vaccine impact post-licensure, both vaccine effectiveness and safety

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# Many countries and organizations are working on plans

- WHO VE and Safety protocols, safety code lists
- ECDC Coordination pulling together plans
- Global Networks e.g. Global Vaccine data link
- European Networks VE and Safety protocols
- Brighton Collaboration case definitions (SPEAC)
- Regulators (EMA, FDA) VAC4EU (background rates)
- Manufacturers required to have phase 4 studies.

### Key areas of post-implementation surveillance

- Coverage
- Epidemiology
- Effectiveness
- Impact
- Safety
- Sero-surveillance
- Modelling

Before thinking about effectiveness and safety its essential to know how you will capture vaccination data.

- Immunisation registry
- Vaccination cards



- Questionnaires when patients present with illness
- Health records (e.g. GP)

Should be as timely as possible.

## Next consider data sources and methods

- For the populations being targeted consider how to identify cases (disease or safety outcomes) in an unbiased way, a comparator group (if necessary), exposure history and confounding variables.
- Based on the above decide on the best design

# Vaccine Effectiveness Outcomes

- Symptomatic disease
- Infection (PCR/seroconversion)
- Hospitalisation
- Mortality
- Transmission

# Vaccine Effectiveness data sources for cases

- Laboratory confirmed (e.g. PCR positive) cases reported by laboratories to national databases.
- General Practice records
- Sentinel surveillance swabbing schemes by General Practices
- Hospital records / reporting e.g. SARI or ICU reporting
- Defined cohorts followed up with regular testing e.g. Health Care Workers, Care home residents and staff
- Detailed follow-up of cases/ families of cases to assess infectivity (CT number) and transmission risk by vaccination status.
- Outbreak investigations

# Vaccine Effectiveness methods

- Randomised
  - If more than one vaccine available is randomisation possible at point of delivery or as cluster randomisation?
- Observational with data linkage / enhanced follow-up
  - Test-negative case control
  - Case control with population or hospital controls
  - Cohort
  - Screening method (Case-coverage)
  - Ecological

# Stratification and confounding

- Duration of protection
- Sub groups e.g. specific health conditions, ethnicity, ages, those with and without past infection
- Likely confounders (related to vaccination and outcomes)
  - Age, period, region, health conditions, health care usage, past infection, influenza vaccination (if using test negative controls).

# Examples of planned studies (UK)

#### RAPID results

- We have added a vaccination question to the test request form for the community testing we can rapidly compare vaccination status in those testing positive with those testing negative (TNCC design).
- We can use rapidly available population uptake along with vaccination status of lab confirmed cases (hospital and community cases) for VE by the screening method.

#### GP based

 GP cohorts include the Royal College of General Practitioners' cohort and the clinical practice research datalink (CPRD). Can do cohort analysis and nested TNCC.

# Examples of planned studies (UK)

- <u>SIREN study</u> prospective cohort study of 100,000 healthcare workers
- Aim to determine whether SARS2 antibody associated with reduced risk of reinfection.
- Can be used to assess VE against infection as there is regular testing (PCR and blood) for asymptomatic infection
- Transmission study
- Recruit Vaccinated and Unvaccinated cases follow-up household contacts with PCR testing and antibody testing.

# Using surveillance for Impact

- Impact on broad range of existing population level surveillance indicators
- Direct/indirect effect of the vaccine
- This is an ecological assessment so interpretation more challenging.
- Comparison of confirmed cases in target groups before to after vaccine introduction relative to changes seen in non-targeted groups
- If there is variability in the timing of vaccination within target groups (e.g. care homes) this may also allow assessment of impact.

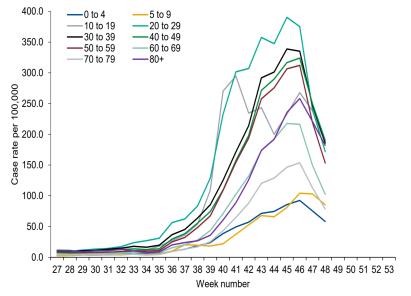


Figure 4: Weekly laboratory confirmed COVID-19 case rates per 100,000, tested under Pillar 1 and Pillar 2, by age group

# Some methodological issues

How appropriate are test negative controls – requires reasonable sensitivity and good specificity?

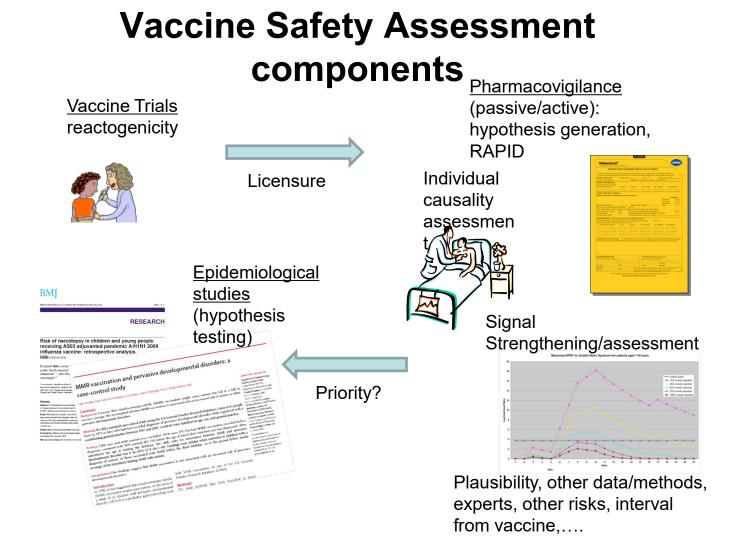
Sample Size – this is helped if VE really is 90% +

Might there be behavioural modification in those vaccinated?

What if very specific populations are targeted (e.g. hospitalised patients)?

# Vaccine Safety

- Common and less serious events identified in trials
- Of most interest are rare and serious adverse events
- Three main components
- SIGNAL DETECTION
- SIGNAL STRENGTHENING
- SIGNAL EVALUATION (hypothesis testing)



# Signal detection –

Passive surveillance – vaccine pharmacovigilance. E.g. disproportionality analysis Active surveillance –

Database - comparing observed rates to back ground rates - comparing rates in specific post vaccine windows Cohort event monitoring – active follow-up of a cohort e.g. using a phone app, or careful monitoring all admissions to a hospital

Sequential testing is usually done so statistical methods to allow for this should be used. Sequential probability ratio test (SPRT)

Active surveillance is usually for a predefined set of events.

MANY SIGNALS ARISE UNEXPECTEDLY FROM OTHER SOURCES – good to have a network to talk to one another.

# Signal strengthening

### Rapid Assessment

- Causality assessment tools to investigate cases (WHO tools)
- Is the signal seen in other countries using the same vaccine?
- Ecological studies looking at rates before after vaccination e.g. using hospital of GP data
- Comparison of rates by vaccine manufacturer within similar target groups.
- Assessment of timing of events and rapid Observed vs Expected analyses if not on the pre-specified list.
- Input from specialists on plausibility and likely risk windows to inform hypothesis testing

# Signal Evaluation – data sources and methods for well designed studies

- GP data best for events presenting at GPs where coding has reasonable specificity. Cohort/Case-control/Self controlled case series methods
- Hospital data linked to immunisation register or vaccination history obtained by linkage to or contacting GPs. Self controlled case-series, case-coverage methods
- Specialist registers some events (e.g. narcolepsy) require careful ascertainment and validation of cases from registers through hospital visits and use of expert panels.
- Code validation where GP/Hospital codes are used validation of a subset or all cases through case-note ascertainment/ prescription data often needed.

## **Self Controlled Case-Series**

- Ideal for rare events where a risk period can be defined
- Only requires cases (must be an unbiased set)
- Compare the event rate in the vaccine risk window to rate in person time outside the risk window.
- Deals with individual level confounding
- Still need to adjust for time varying confounders (e.g. age, period)

# Some methodological issues

- Targeting vaccination at individuals at hospital or other special populations
- The Pandemic itself has changed many baselines for observed v expected
- Different vaccines given to different populations
- Separate data sources to identify and test hypotheses



Also thanks to various people I have spoken to about VE and Safety

Including...

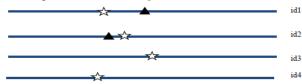
PHE: Jamie Lopez, Julia Stowe, Mary Ramsay, Liz Miller, Heather Whitaker, Charlotte Gower.

P95: Kaat Bollaerts

Global Vaccine Datanet: Steve Black and others

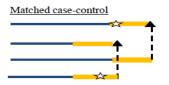
## **BACK UP SLIDES**





Blue line is 200 days person follow-up time; star is vaccination time and triangle event time.

### Comparing designs





Cohort





Rate of events in 30 day post vaccination risk period (red) compared to non-risk period (blue), follow-up stops at an event (if non recurrent). Poisson regression or survival analysis can be used.

Cases are matched to non-cases (for example id 1 to 3 and id 2 to 4) and the odds of vaccination in the 30 days prior to the case event time (orange) compared using conditional logistic regression.

Just using cases (id 1 & 2) the odds of vaccination in 30 days prior to the event time (orange) is compared to the previous 30 day period (green) using conditional logistic regression.

Just using cases (id 1 & 2) event rate 30 days post vaccination (red) compared to non-risk period (blue) using conditional Poisson regression.